



FundApps Regulatory Connectivity: ASIC FIX

The Australian Securities & Investments Council (ASIC) requires their disclosure reports to be sent via Financial Information eXchange (FIX) protocol.

FundApps has implemented a secure connection to ASIC FIX servers to facilitate the submission of short selling reports in Australia, a new standalone **Regulatory Connectivity** service.

The Workflow

Users will be able to send ASIC a FIX message with short selling reports and review historical data via intuitive interface of the FundApps Regulatory Connectivity service.

1. Upload short selling reports and send filings to ASIC.

2. Check status to see if the reports were Accepted or Rejected by ASIC.

3. Check any historical data uploaded via FundApps.

The screenshot shows the 'Filing Manager' interface for 'Short selling: Australia'. It includes a table with columns: Filing Status, Seller ID, Stock Code, Short Quantity, Position Date, Submitted On, Submitted By, and Submission Message. The table contains 12 rows of data, including 'Filed' and 'Rejected' entries. At the top right, there are buttons for 'Upload (.csv)', 'Clear', and 'Send Filings'. At the bottom, there is a pagination control showing 'Page 1 of 70' and 'Rows per page 10'.

For more information on FundApps' Regulatory Connectivity service please contact sales@fundapps.co