FundApps Shareholding Disclosure Pre-Trade API

Aggregated real-time checks across all positions, all portfolios, all funds

Check which trades can be executed without crossing regulatory thresholds or breaching internal limits across your whole portfolio in real-time.

Shareholding pre-trade disclosure checks can mean tight deadlines, low thresholds, ETF hard stops, pre-merger notifications and more. Examples that come to mind are European short-selling rules, 12D and HSR and monitoring on a pre-trade basis is often a time-consuming mix of manual workarounds, manual rule coding and cross-checks.

The FundApps Pre-Trade API for Shareholding Disclosure automates all your pre-trade checks, does them in real-time and can aggregate across your whole portfolio.

How do we do it?

The quality and accuracy of our rules comes from years of fine-tuning by a team of genuine regulatory experts, plus we have an engaged community who constantly scrutinise and audit those rules, and we underpin our service with the aosphere dataset, the market leader in interpreting shareholder regulation on a global scale.

This gives you the benefits of avoiding false positives, only disclosing when you mean to and even - for those with HFT strategies - the ability to trade in stealth mode by avoiding public disclosures..

- **Reduce Front Office + Compliance friction** by speeding up pre-trade checks and ensuring they are accurate
- **Reduce hidden risks** by removing the need to manually check multiple OMS for different asset classes
- **Remove pre- and post-trade** discrepancies by having both checks in a single system

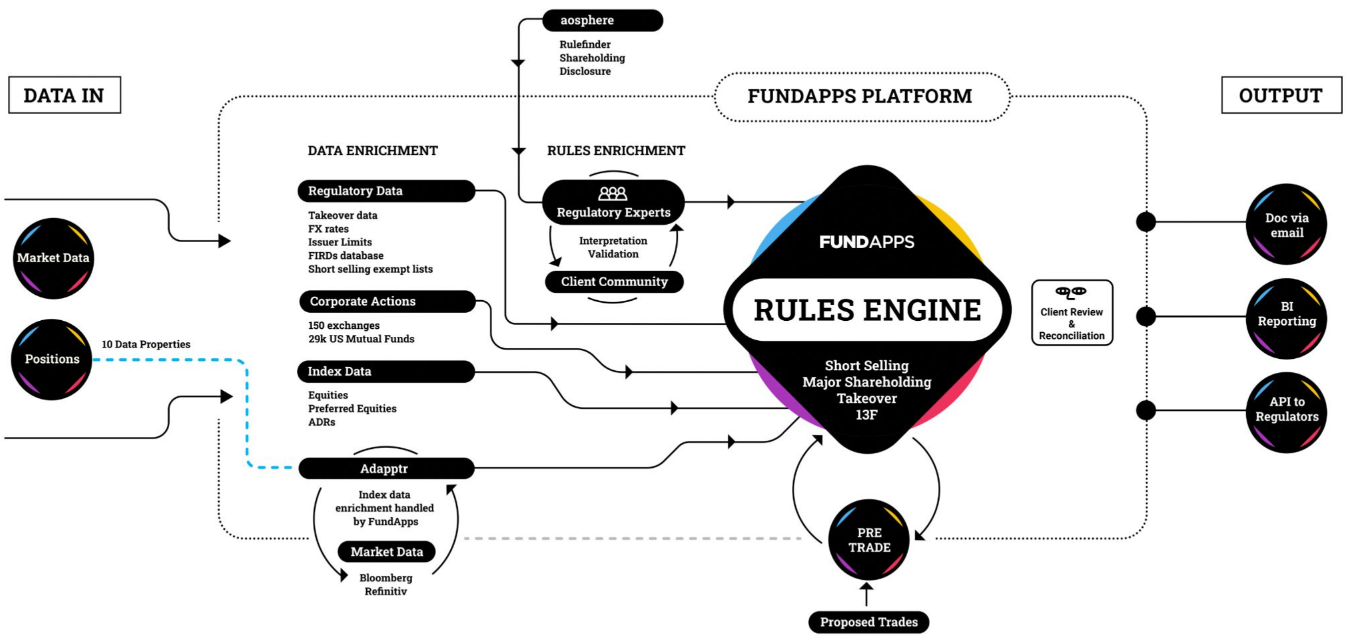
Why choose our Pre-Trade API?
Adding our Pre-Trade API to your existing Shareholding Disclosure platform will give your compliance teams an early warning system, enable your traders to get an answer in real time and remove the need to check multiple systems to get a single answer.

As well as streamlining the trade review and approval process, our Pre-Trade API bolt-on will enable you to easily align your pre- and post-trade checks. By using the same position files data, you no longer have to change your pre-trade rules every time a post-trade rules change. No more pivoting from system to system to match rules. We know those post-trade rules change quite often, so we've got your back - all in one system.

Reduce false positives
By using the same positions file data already loaded into the FundApps Shareholding Disclosure platform

Easily stay compliant
With aggregation rules for global shareholding disclosure

Stay in stealth mode
Stay below thresholds so no disclosure needed.



The FundApps Pre-Trade API enables clients to maximise returns while ensuring regulatory compliance. Take control of your trades today!

Want more from Pre-Trade?
We are always moving forward with our product portfolio. If you are interested in getting Pre-Trade API for Position Limits or Sensitive Industries sooner rather than later, talk to our Product team today about becoming part of our Beta Band

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